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## **Changing Trends in International Versus Domestic HCV Transmission in HIV-Positive Men Who Have Sex With Men: A Perspective for the Direct-Acting Antiviral Scale-Up Era**

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**Abstract:** BACKGROUND Scale-up of direct-acting antiviral therapy is expected to abate hepatitis C virus (HCV) incidence among human immunodeficiency virus (HIV)-positive men who have sex with men (MSM). International transmission could influence this process. We classified HCV infections in HIV-positive MSM as either domestically or internationally acquired, and estimated how this classification changed over time. METHODS HCV subtype 1a (the most frequent subtype among MSM) genomes from 99 persons enrolled in the Swiss HIV Cohort Study and diagnosed with replicating HCV infections, were sequenced. Sixty-six of these sequences were from MSM. We inferred maximum-likelihood phylogenetic trees and time trees containing a fragment of the NS5B region of these and 374 circulating strains. We inferred transmission clusters from these trees and used the country composition of such clusters to attribute infections to domestic or international transmission. RESULTS Of HCV transmissions, 50% to 80% were classified as domestic depending on the classification criterion. Between 2000 and 2007, the fraction attributable to domestic transmission was 54% (range 0-75%). It increased to 85% (range 67%-100%) between 2008 and 2016. CONCLUSIONS International and domestic transmission have played major roles in this epidemic. While international transmission persists, local transmission has established as the main source of infections.

DOI: <https://doi.org/10.1093/infdis/jiz069>

Posted at the Zurich Open Repository and Archive, University of Zurich

ZORA URL: <https://doi.org/10.5167/uzh-172404>

Journal Article

Published Version



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Originally published at:

Salazar-Vizcaya, Luisa; Kouyos, Roger D; Metzner, Karin J; Caraballo Cortes, Kamila; Böni, Jürg; Shah, Cyril; Fehr, Jan; Braun, Dominique L; Bernasconi, Enos; Mbunkah, Herbert A; Hoffmann, Matthias; Labhardt, Niklaus; Cavassini, Matthias; Rougemont, Mathieu; Günthard, Huldrych F; Keiser, Olivia;

Rauch, Andri; Swiss HIV Cohort Study (2019). Changing Trends in International Versus Domestic HCV Transmission in HIV-Positive Men Who Have Sex With Men: A Perspective for the Direct-Acting Antiviral Scale-Up Era. *Journal of Infectious Diseases*, 220(1):91-99.  
DOI: <https://doi.org/10.1093/infdis/jiz069>

# Changing Trends in International Versus Domestic HCV Transmission in HIV-Positive Men Who Have Sex With Men: A Perspective for the Direct-Acting Antiviral Scale-Up Era

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**Background.** Scale-up of direct-acting antiviral therapy is expected to abate hepatitis C virus (HCV) incidence among human immunodeficiency virus (HIV)-positive men who have sex with men (MSM). International transmission could influence this process. We classified HCV infections in HIV-positive MSM as either domestically or internationally acquired, and estimated how this classification changed over time.

**Methods.** HCV subtype 1a (the most frequent subtype among MSM) genomes from 99 persons enrolled in the Swiss HIV Cohort Study and diagnosed with replicating HCV infections, were sequenced. Sixty-six of these sequences were from MSM. We inferred maximum-likelihood phylogenetic trees and time trees containing a fragment of the NS5B region of these and 374 circulating strains. We inferred transmission clusters from these trees and used the country composition of such clusters to attribute infections to domestic or international transmission.

**Results.** Of HCV transmissions, 50% to 80% were classified as domestic depending on the classification criterion. Between 2000 and 2007, the fraction attributable to domestic transmission was 54% (range 0%–75%). It increased to 85% (range 67%–100%) between 2008 and 2016.

**Conclusions.** International and domestic transmission have played major roles in this epidemic. While international transmission persists, local transmission has established as the main source of infections.

**Keywords.** men who have sex with men; hepatitis C virus; HIV; direct-acting antivirals; transmission.

HIV-positive men who have sex with men (MSM) have become a main transmission group for hepatitis C virus (HCV) infection in Switzerland and other industrialized countries. Increased HCV transmission among human immunodeficiency virus (HIV)-positive MSM started to be reported in the early 2000s

[1–4]. Molecular epidemiological analyses have demonstrated international networks of sexual transmission of HCV [5, 6]. The spread of HCV among HIV-positive MSM has continued ever since [4, 7–9].

Rapid scale-up of early direct-acting antiviral (DAA) therapy for HCV is ongoing in many European and non-European countries [10, 11] as reimbursement restrictions are progressively relieved. Projections from mathematical models for several Western countries [12–15] and early surveillance data from The Netherlands and Switzerland support the claim that DAA scale-up may abate the high incidence of HCV in HIV-positive MSM [15–18].

The relative magnitude of domestic versus international HCV transmission could be a key parameter for the success of isolated national treatment strategies. National microelimination and prevention programs can only be successful if a significant fraction of infections is acquired domestically, while international coordination becomes more important with frequent international transmission. Indirect genetic evidence from Switzerland

Received 31 October 2018; editorial decision 5 February 2019; accepted 11 February 2019; published online February 13, 2019.

Presented in part: Conference on Retroviruses and Opportunistic Infections, 4–7 March 2018, Boston, MA (abstract number 130).

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The Journal of Infectious Diseases® 2019;220:91–9

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DOI: 10.1093/infdis/jiz069

suggests an important role of domestic transmission [19]. Switzerland is ideally placed to study international transmission due to its small population size, the high proportion of MSM followed within the Swiss HIV Cohort Study (SHCS; [www.shcs.ch](http://www.shcs.ch)) [20], and its connections to international networks of sexual transmission [21, 22].

This study aimed to classify HCV infections in HIV-positive MSM in Switzerland as domestically or internationally acquired, and to estimate how this classification changed over time. We did this by locating infections in transmission clusters inferred from phylogenetic reconstructions and by timing transmission events.

## METHODS

This study took place within the SHCS. The SHCS is a nationwide prospective cohort study that, since 1988, routinely collects clinical and epidemiological data from HIV-positive persons aged  $\geq 18$  years. The SHCS has been approved by the ethics committee of the participating centers and written informed consent was obtained from all participants. The prospectively collected data comprise behavioral and clinical information as well as laboratory measurements, including routine HCV antibody screening for incident infections and HCV RNA to detect reinfections. We estimate that more than 80% of all MSM diagnosed with HIV in Switzerland are followed within the cohort network [23, 24]. The prevalence of HCV in HIV-positive MSM in the SHCS was 4.8% in June 2016, and the fraction of infections with genotypes 1, 2, 3, and 4 were 72%, 1%, 5%, and 22%, respectively [16]. The molecular study reported here analysed subtype 1a, the most frequent one in our study population, accounting for 60% of HCV infections. For genome sequencing, we included all MSM with HCV subtype 1a replicating infections who had plasma samples available for sequencing. We refer to these as Swiss MSM sequences.

To enable comparative analyses, we also sequenced additional cohort participants (other than MSM) with incident infections, also subject to availability of plasma samples from the SHCS biobank.

### Sequencing of Hepatitis C Virus Infections

HCV RNA genome sequences were generated by amplification of almost full-length HCV RNA followed by massive parallel sequencing. Viral RNA was extracted from plasma stored in the SHCS biobank ([www.shcs.ch](http://www.shcs.ch)) by an automated nucleic acid extractor (EasyMag, BioMerieux) [25] and Nucleospin RNA Virus Kit (Macherey-Nagel). RNA was then amplified by RT-PCR. A MiSeq (Illumina) instrument was utilized for sequencing. Finally, a consensus sequence was generated for each HCV isolate by iterative sequence alignment against an HCV reference sequence with SmaltAlign (Institute of Medical Virology, University of Zürich). The HCV isolate H77 (GenBank accession number [NC\\_004102](https://www.ncbi.nlm.nih.gov/nucl/NC_004102)) served as reference sequence.

Our near full-length sequencing spanned nucleotides 62 to 9376 of this reference. To allow comparison with international sequences we focused on the 436 base pair fragment coding for the C-terminal part of the NS5B region, because this segment is relatively abundant in public databases [26] and was the basis of previous studies on HCV transmission among MSM [5, 8, 9].

### Maximum Likelihood Phylogenies

We compared 66 Swiss MSM sequences with a set of background sequences, which included 33 derived from incident infections in persons enrolled in the SHCS with HIV transmission risk other than MSM, 81 from chronic infections in persons enrolled in the SHCS, and 293 sequences retrieved from public databases [27] using the Basic Local Alignment Search Tool (BLAST) [28] to identify relevant background sequences by means of similarity criteria with respect to the sequences obtained for this study. We used RAxML [29] to infer maximum likelihood (ML) phylogenetic trees assuming a general time reversible with invariant sites (GTRI) model with gamma-distributed substitution rates with 4 categories. One hundred replicates resulted in an estimation of the best tree and bootstrap supporting values for each bipartition.

### Transmission Clusters

A subtree with a bootstrap support value  $\geq 70\%$  was defined as a transmission cluster (3 members or more) or a transmission pair (2 members). We only analyzed transmission clusters or pairs that included study sequences because only those are relevant to the study question.

### Likely Geographic Origin of Infections

We classified our study sequences as corresponding to transmissions likely to have occurred by contact with MSM living either in Switzerland or abroad, and termed them domestic or international transmissions, respectively. We classified a study sequence as corresponding to domestic transmission if it was located in a Swiss transmission cluster, and as corresponding to international transmission if it was located in an international transmission cluster. A transmission cluster was defined as Swiss when the fraction of its sequences that were Swiss equaled or exceeded a given threshold named Swiss dominance criterion. We studied Swiss dominance criteria ranging between 50% and 90%. Likely geographic origin of sequences found to belong to more than 1 cluster was determined based on the most internal cluster or pair they belonged to.

### International Linkages of the Swiss Epidemic

We defined and computed a measure of the strength of the linkage between the Swiss epidemic and those in other countries whose sequences could be included in our analyses. This measure consisted of assigning weights to each region according to its frequency of appearance in clusters containing Swiss MSM sequences. We defined the measure as follows:  $\theta_g = \frac{1}{\Gamma} \sum_i \rho_g^i \gamma^i$  where  $\Gamma = \sum_i \gamma^i$  is the total number of Swiss MSM sequences,

$i$  indexes international clusters,  $\gamma^i$  is the number of Swiss MSM sequences in cluster  $i$ ,  $g$  indexes countries of origin other than Switzerland, and  $\rho_g^i$  is the fraction of sequences in the international cluster  $i$  with country of origin  $g$ .

### Time Origin of Swiss Clusters

We estimated the origin of Swiss transmission clusters identified from the ML phylogenetic tree. These origins can be interpreted as proxies for introduction times of HCV into Switzerland, which resulted in domestic subepidemics.

For this purpose, we reinferrred Swiss transmission clusters with 5 or more members using BEAST [30], which resulted in time trees for each of these clusters. Our estimations of a clusters' origins corresponded to the heights of such time trees. BEAST analyses utilized the general time-reversible substitution model with invariant sites (GTRI) with gamma-distributed rate variation (in accordance with the ML estimation depicted above) and an uncorrelated lognormal relaxed molecular clock model [31]. The Birth-Death Skyline Serial model implemented in BEAST provided a surrogate HCV transmission model [32]. [Supplementary Table 1](#) provides further details on the parameterization of this analysis. The results reported here correspond to runs that reached Markov chain Monte Carlo chain lengths of  $5 \times 10^7$ . The minimum effective sample sizes across estimated parameters was  $>800$ .

### Trends in Geographic Origin of HCV Infections

We identified time changes in the fraction of infections attributable to domestic (versus international) transmission by timing the transmission events associated with our study sequences. As a proxy for transmission time, we used branching times involving our study sequences in a time tree. This time tree was constructed using BEAST by the same method as above and included the same set of sequences as for the ML tree. For this estimation, we only considered branching times corresponding to nodes with posterior probabilities  $\geq 70\%$ .

BEAST analyses excluded sequences without available sampling date.

### Sensitivity Analysis

In order to test the robustness of the trends in geographic origin of HCV infections, we performed a sensitivity analysis on the time tree. This sensitivity analysis accounted for potential imbalance of sampling rates (Swiss versus international sequences) over time. Such imbalance may arise from the fact that while the sampling of international sequences slowed down towards the end of the study period, the SHCS continued to perform HCV sequencing (see [Supplementary Text 1](#)).

## RESULTS

### Sequencing of Hepatitis C Virus Infections

Overall, by means of Illumina technology we obtained 99 HCV subtype 1a genomes from persons who were diagnosed with HCV infections between 1999 and 2016. Sixty-six were from

63 MSM (3 reinfections) and constitute our study sequences, that is the Swiss MSM sequences. The Swiss MSM sequences accounted for 66% of infections with this genotype diagnosed in MSM enrolled in the SHCS during the study period. [Table 1](#) shows the characteristics of the MSM participants. Patients' median age was 45 years (interquartile range, 41–51) and 75% (47/63) ever reported inconsistent condom use with occasional partners. Fifty-four percent (34/63) and 14% (9/63) reported the use of any or intravenous recreational drugs, respectively. Previous publications have described in detail HIV/HCV coinfectd MSM enrolled in the SHCS [16, 17, 33]. Sampling dates of Swiss MSM sequences ranged between June 2002 and May 2016. The Swiss MSM sequences have been submitted to GenBank, accession numbers are MK314737 to MK314802.

### Transmission Clusters

Ninety-one percent (60/66) of the Swiss MSM sequences were located within 14 MSM transmission clusters (7 nested, ie, embedded in larger clusters) and 12 transmission pairs (8 nested) ([Table 2](#) and [Figure 1](#)). The mean within-cluster patristic distance was 0.27% (range 0%–0.68%). This value fulfils commonly used criteria for clustering (eg, 1%–10%), and therefore provides further support for the adopted cluster definition based on bootstrap values. Only 2 study sequences clustered or paired with sequences from men enrolled in the SHCS who reported intravenous drug use as the most likely mode of HIV transmission. [Figure 1](#) displays all transmission clusters and pairs containing study sequences.

**Table 1. Characteristics of Men Who Have Sex With Men Included in the Study**

Characteristics	Value
Number of patients	63
Age at sampling time, y, median (IQR)	45 (41–51)
ART ever started, n (%)	62 (94)
Drug use during follow-up, n (%)	
Ever, all modes of administration	34 (54)
Ever, intravenous	9 (14)
Sex with occasional partners, n (%)	
Ever	63 (100)
Condom use with occasional partners, n (%)	
Consistent	12 (19)
Inconsistent	47 (75)
Refuse to answer	0
Missing	4 (6)
Ethnicity, n (%)	
Caucasian	55 (87)
Education, <sup>a</sup> n (%)	
Lower	6 (10)
Intermediate	44 (70)
High	11 (17)
Unknown	2 (3)

Sixty-six sequences (including 3 reinfections) were obtained from 63 men who have sex with men.

Abbreviation: ART, antiretroviral therapy.

<sup>a</sup>Lower: mandatory school or lower; high: university.

**Table 2.** Description of Transmission Clusters in Figure 1 and Estimated Origin

Primary	Nested	Size	Study Sequences (n)	Swissness, %	Estimated Time Origin
C1		52	30	65	...
	C4	6	4	100	Jun 2007
	C8	3	3	100	...
C2		33	11	33	...
	C3	27	11	41	...
C5		19	4	21	...
	C6	18	4	22	...
	C9	17	3	18	...
	C12	3	1	33	...
C7		5	4	80	Nov 2000
	C10	3	3	100	...
C11		6	3	100	Oct 1999
C13		11	1	9	...
C14		36	1	14	...

The order of cluster indices reflects the number of studied sequences located in the respective cluster; Swissness refers to the fraction of sequences of Swiss origin in the cluster. The table reports time of origin for clusters with 5 or more members and Swissness equal or larger than 80%. Time trees are shown in [Supplementary Figure 2](#).

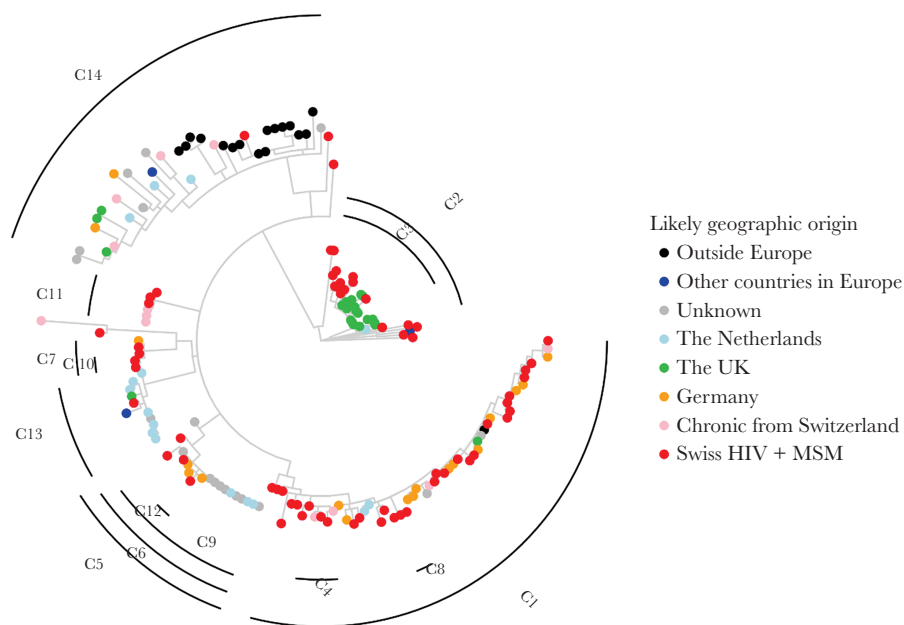
The median number of identifiable countries in clusters that were not Swiss-only clusters was 4 (range 2–9). [Supplementary Figure 1](#) shows the full phylogenetic tree.

The transmission clusters were identified as MSM clusters based on cohort data in the case of Swiss sequences and by inspection of published reports in the case of international sequences. [Table 2](#) depicts the clusters identified in this analysis.

#### Likely Geographic Origin of Infections

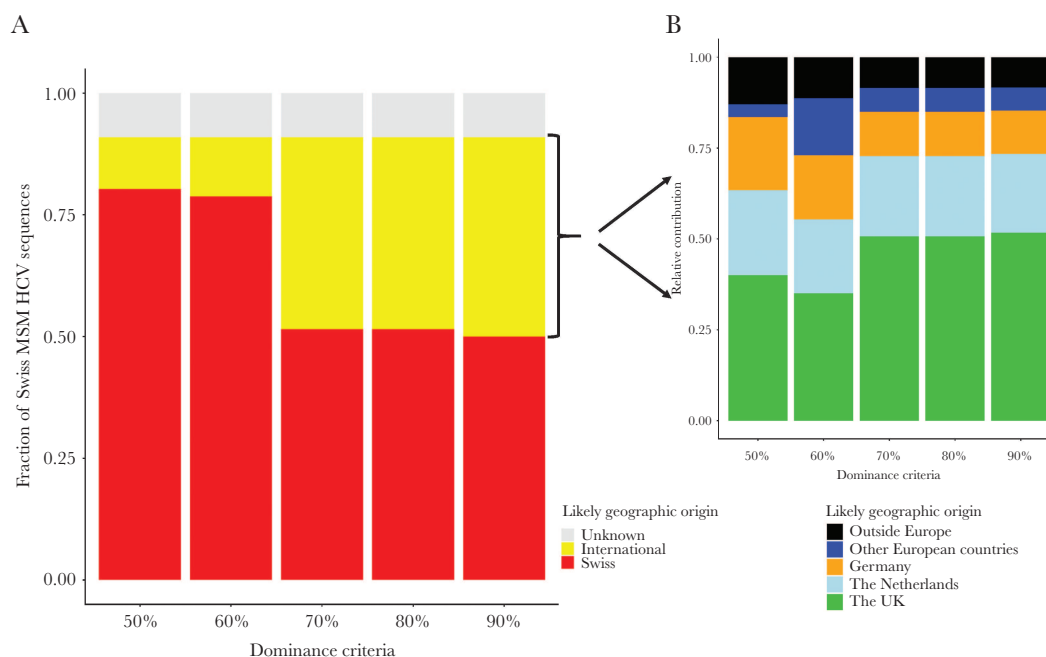
Fifty percent (30/60; [Figure 1](#)) of Swiss MSM sequences located in MSM transmission clusters or pairs were located in cluster C1,

consisting predominantly of Swiss and German sequences. This cluster contained subtransmission clusters C4, C8, and tree transmission pairs, all consisting only of Swiss sequences and including 13 Swiss MSM sequences in total. Eighteen percent of study sequences (11/60) were located in cluster C2, consisting predominantly of Swiss and British sequences. The Swiss part of this cluster is a Swiss-only subtree, but the bootstrap support value for this subtree (60%) does not fulfil the criteria for a transmission cluster as defined for this study. Six sequences could not be linked to any transmission cluster. Overall, 50% (33/66) of Swiss MSM sequences were located in Swiss-only clusters or transmission pairs.



**Figure 1.** Phylogenetic tree showing clusters that contained the study sequences (Swiss men who have sex with men [MSM] sequences). Clusters were defined as monophyletic trees with  $\geq 70\%$  bootstrap support value. The full tree (from which this one is derived, [Supplementary Figure 1](#)) was computed using RAxML with 100 replicates and a general time reversible model with invariant sites and gamma-distributed substitution rates.





**Figure 2.** Likely geographic origin of infection (A) and international linkages of the Swiss men who have sex with men (MSM) sequences (B). This classification was derived from the clusters identified in the maximum likelihood phylogenetic tree. Swiss dominance criteria was defined as minimum percentage of sequences in the clusters that are Swiss necessary to classify a cluster as Swiss. Abbreviation: HCV, hepatitis C virus.

Figure 2A shows the fractions of study sequences classified as domestic, international, and unknown transmission for a range of Swiss dominance criteria (minimum percentage of sequences in the clusters that are required to classify a cluster as Swiss). When this criterion was set to 50% or 60%, 80% and 79% of sequences were classified as domestic transmission, respectively. When the criterion was raised to 90%, half of the sequences were classified as domestic transmission.

#### International Linkages of the Swiss Epidemic

Figure 2B shows a measure of the strength of the linkage between the HCV epidemic in Switzerland and those in other countries as suggested by the ML phylogenetic tree. This measure suggests that the Swiss epidemic is closely linked to those in the UK, The Netherlands, and Germany.

#### Time Origin of Swiss Clusters

We next investigated the time origin of the best-supported Swiss dominated clusters. For these estimates, monophyletic trees containing 5 or more members and fulfilling a Swiss dominance criterion of 80% (Table 2) defined a best-supported Swiss cluster. Phylodynamic inference of these clusters estimated that their origins ranged between (median) October 1999 and June 2007 (Table 2 and Supplementary Figure 2).

#### Trends in Geographic Origin of HCV Infections

The time tree constructed including all sequences with available sampling dates suggests that until 2004 most transmissions occurred by contact with MSM not living in Switzerland

(Figure 3). Of note, none of MSM whose transmissions were estimated to have occurred before 2002 ever reported drug injection. Neither did they cluster with Swiss sequences from persons reporting drug injection.

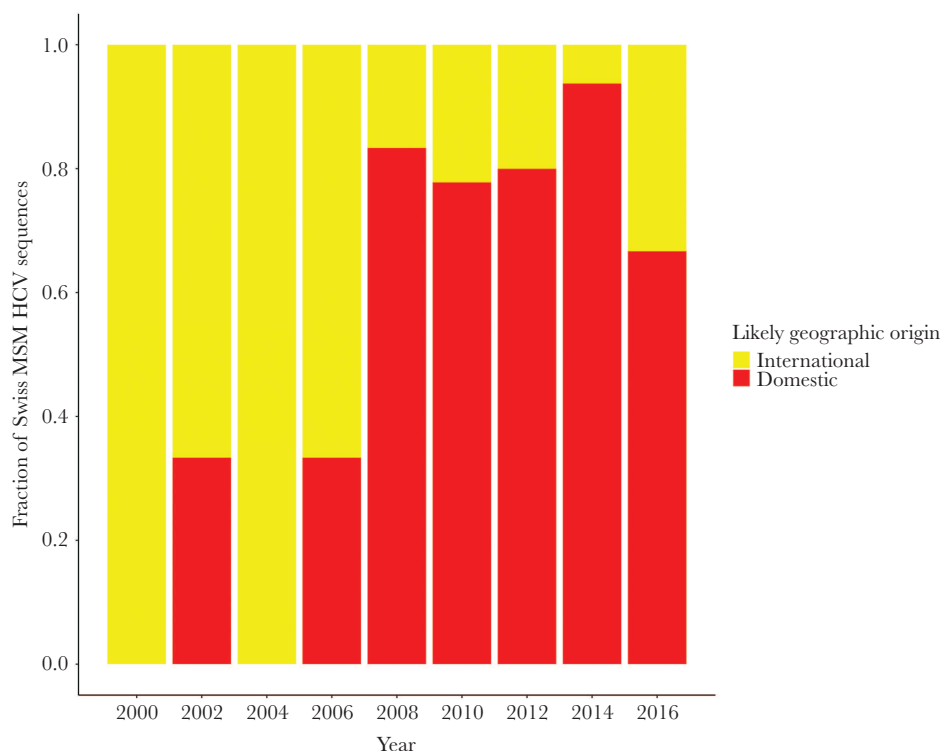
Between 2000 and 2007, the fraction attributable to domestic transmission was 54% (range 0%–75%). It increased to 85% (range 67%–100%) from 2008 to the end of the study period (Figure 3).

#### Sensitivity Analysis

The sensitivity analysis (Supplementary Figure 3) supports the observation, derived from Figure 3, that the contribution of domestic transmission increased over time. Supplementary Figure 3 suggests that changing sampling rates do not explain the increasing trend in domestic transmission displayed in Figure 3. The simulated fraction attributable to domestic transmission (for 80% dominance criterion) increased from 25% (95% confidence interval [CI], 11%–25%) between 2000 and 2007 to 82% (CI, 51%–85%) between 2008 and 2016 (versus 54% and 85% for the same time periods in the main analysis). These results suggest that our main findings were robust to variations in sampling density.

#### DISCUSSION

This molecular epidemiology study suggests that both Swiss domestic and international HCV transmission contribute substantially to the HCV epidemic among HIV-positive MSM in Switzerland. The relative contribution of international transmission declined with time and gave way to domestic transmission as the major source of infections. We found no significant trace



**Figure 3.** Time trends in the fraction of hepatitis C virus (HCV) infections attributable to domestic and international transmission. This classification assumed an 80% dominance criterion and was derived from the time tree inferred using BEAST 2.0. Branching times involving our study sequences (Swiss men who have sex with men [MSM] sequences) served as proxy for transmission times.

of transmission bridging from persons who became infected with HIV through drug injection and MSM within Switzerland, suggesting that the HCV epidemics in these 2 HIV transmission groups are likely disconnected. This is in line with a former phylogenetic study of HIV transmission in Switzerland where only 2% of HIV sequences from persons who inject drugs clustered with those from MSM [22]. Due to lack of behavioral data on concurrence of sexual practices associated with HCV transmission and the use of intravenous drugs [34, 35], our study could not assess frequencies of HCV transmission routes (ie, traumatic sex versus intravenous drug use).

Our analyses suggest that the initial cases of sexually transmitted HCV in MSM in Switzerland are mainly attributable to international transmissions among MSM, and not to spillovers between persons who inject drugs and MSM within Switzerland. Swiss-domestic HCV transmission among MSM has grown over time. This implies that intensive test-and-treat interventions at the national level are likely to succeed. This notion is supported by data from Switzerland, where the Swiss HCVree Trial, a test-treat-and-counsel study [14, 17–18] has been followed by a decline in the number of cases of acute HCV infections among MSM in the SHCS. Importantly, early data from the Netherlands also indicate declines in incident cases in this population after introduction of universal access to DAA therapy [10]. Our finding of persistent international

transmissions may imply that coordinated HCV treatment scale-up in different countries could amplify the decrease in HCV transmissions.

The estimated time origins of Swiss transmission clusters can be interpreted as illustrations of critical time points when treatment and/or behavioral interventions could have had prevented new transmission chains. We estimated the origins of Swiss clusters to be between 1999 and 2007, which overlaps with a sharp rise in HCV incidence among HIV-positive MSM in Switzerland [2, 33]. This may have been due, at least partially, to such introduced cases spreading in Switzerland.

### Limitations

This analysis relied on public databases to obtain background international sequences. While a large number of these were included, the fact that European countries may be unevenly represented in GenBank, is a limitation of this study. Our estimations on linkages of the Swiss epidemic to specific countries were therefore likely biased. The segment of the HCV genome that we chose for these analyses was the one that resulted in the most suitable set of background sequences, in particular because it has been the basis of other phylogenetic analyses across Europe [5, 36]. However, countries such as France and Italy were likely under-represented in our analyses (only 8 and 3 sequences, respectively, were included). More even sampling



rates across geographic regions, as well as increased sequencing of longer regions of the HCV genome, should improve estimates of transmission patterns in the future [37].

The fact that the number of international HCV sequences available from public databases declined towards the end of the study period is also a potential limitation of this study. It could potentially have led to overestimations of the contribution of domestic transmission towards the end of the study period. However, the contribution of domestic transmission started to increase early on, before this disparity could have had any effect. Moreover, the estimated time trend in domestic versus international transmission held true when subjected to a sensitivity analysis that assumed a set of hypothetical international sequences iteratively attaching to the transmission clusters, which deliberately decreased the likelihood of domestic transmission. Finally, potential under-reporting of intravenous drug injection may have prevented us from recognizing more transmission bridges between MSM and persons who inject drugs.

### Implications of Findings

To our knowledge, this is the first study to quantify the contribution over time of international transmission to a national HCV epidemic in MSM. We did this by combining phylogenetic and phylodynamic analyses of a representative sample of HCV genome sequences from infections observed in Switzerland.

Previous studies have demonstrated the emergence of epidemics of HCV transmitted through sexual practices and depicted its separation from transmission networks formed by persons who inject drugs [5, 36]. These molecular epidemiological studies revealed international networks of HCV transmission among HIV-positive MSM. Our study evidences high levels and increases of domestic transmission within Switzerland. It also indicates that the contribution of international HCV transmission networks persists although its importance declined over time.

Previous modelling studies and empiric data indicate that DAA scale-up has the potential to curb the HCV epidemic among HIV-positive MSM [12–15]. Our results suggest that for a successful treatment-as-prevention approach and a correct interpretation of its effects, international transmission should be considered, and that targeted joint European scale-up schemes may increase the efficiency of such interventions.

Regularly updated HCV phylogenies for Europe could help monitor the impact of national DAA scale-up programs, and they would allow measurement of the effect of treatment-as-prevention on large-scale transmission networks. This requires international coordination to sequence the same or overlapping regions of the HCV genome.

### CONCLUSION

This molecular epidemiological study suggests that both international and local transmission have played major roles in the Swiss epidemic of hepatitis C among HIV-positive MSM, and

that, while international transmission persists, Swiss domestic transmission has gained importance over time.

### Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

### Notes

**Author contributions.** L. S. V., R. D. K., O. K., and A. R. designed the study. L. S. V. and R. D. K. formulated the analyses. L. S. V. performed the analyses. K. M., K. C. C., C. S., and J. B. performed HCV sequencing. J. F., D. B., E. B., M. H., N. L., M. C., M. R., H. G., and O. K. contributed cohort data and/or contributed to the analyses. L. S. V., R. D. K., and A. R. drafted the first version of the manuscript, which was then revised by all the other authors. All authors contributed to the interpretation of the results.

**Acknowledgments.** We thank the participating patients, physicians, and study nurses for outstanding patient care, and the Data Center and the Coordination Center for continuous support. The members of the Swiss HIV Cohort Study (SHCS) are: Aubert V., Battegay M., Bernasconi E., Böni J., Braun D. L., Bucher H. C., Calmy A., Cavassini M., Ciuffi A., Dollenmaier G., Egger M., Elzi L., Fehr J., Fellay J., Furrer H. (Chairman of the Clinical and Laboratory Committee), Fux C. A., Günthard H. F. (President of the SHCS), Haerry D. (Deputy of Positive Council), Hasse B., Hirsch H. H., Hoffmann M., Hösli I., Kahlert C., Kaiser L., Keiser O., Klimkait T., Kouyos R. D., Kovari H., Ledergerber B., Martinetti G., Martinez de Tejada B., Marzolini C., Metzner K. J., Müller N., Nicca D., Pantaleo G., Paioni P., Rauch A. (Chairman of the Scientific Board), Rudin C. (Chairman of the Mother and Child Substudy), Scherrer A. U. (Head of Data Centre), Schmid P., Speck R., Stöckle M., Tarr P., Trkola A., Vernazza P., Wandeler G., Weber R., and Yerly S.

**Disclaimer.** The funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Financial support.** This work was supported by the Swiss National Science Foundation (grant numbers 33CSC0-108787 and 324730\_179567 to the Swiss HIV Cohort Study, BSSGI0\_155851 to R. D. K., and 163878 professorship grant to O. K.); and University of Zurich Clinical Research Priority Program Viral Infectious Diseases grant to D. L. B.

**Potential conflicts of interest.** L. S. V. received a travel grant from Gilead. H. F. G. has received unrestricted research grants from Gilead Sciences and Roche; fees for data and safety monitoring board membership from Merck; consulting/advisory board membership fees from Gilead Sciences, Sandoz, and Mepha. A. R. reports support for advisory boards and/or travel

grants from Janssen-Cilag, MSD, Gilead Sciences, Abbvie, and Bristol-Myers Squibb, and an unrestricted research grant from Gilead Sciences, all paid to his institution. K. J. M. has received travel grants and honoraria from Gilead Sciences, Roche Diagnostics, GlaxoSmithKline, Merck Sharp & Dohme, Bristol-Myers Squibb, ViiV, and Abbott; and the University of Zurich received research grants from Gilead Science, Roche, and Merck Sharp & Dohme for studies that K. J. M. serves as principal investigator, and advisory board honoraria from Gilead Sciences. D. L. B. reports support for advisory boards and/or travel grants from MSD, ViiV, and Gilead Sciences. N. D. L. reports support for a plenary presentation from Gilead Sciences paid to his home institution. R. D. K. reports personal fees from Gilead Sciences outside the submitted work. E. B. reports support for advisory boards from MSD, Gilead Sciences, ViiV Healthcare, Pfizer, Sandoz, and Abbott. O. K. reports grants from Gilead. M. C. reports grants from ViiV and Gilead Sciences and fees for expert opinion from ViiV, MSD, and Gilead, all paid to his institution. J. F. reports grants from Merck and ViiV Healthcare. M. R. reports travel grants from BMS and Gilead. All other authors report no potential conflicts. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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